

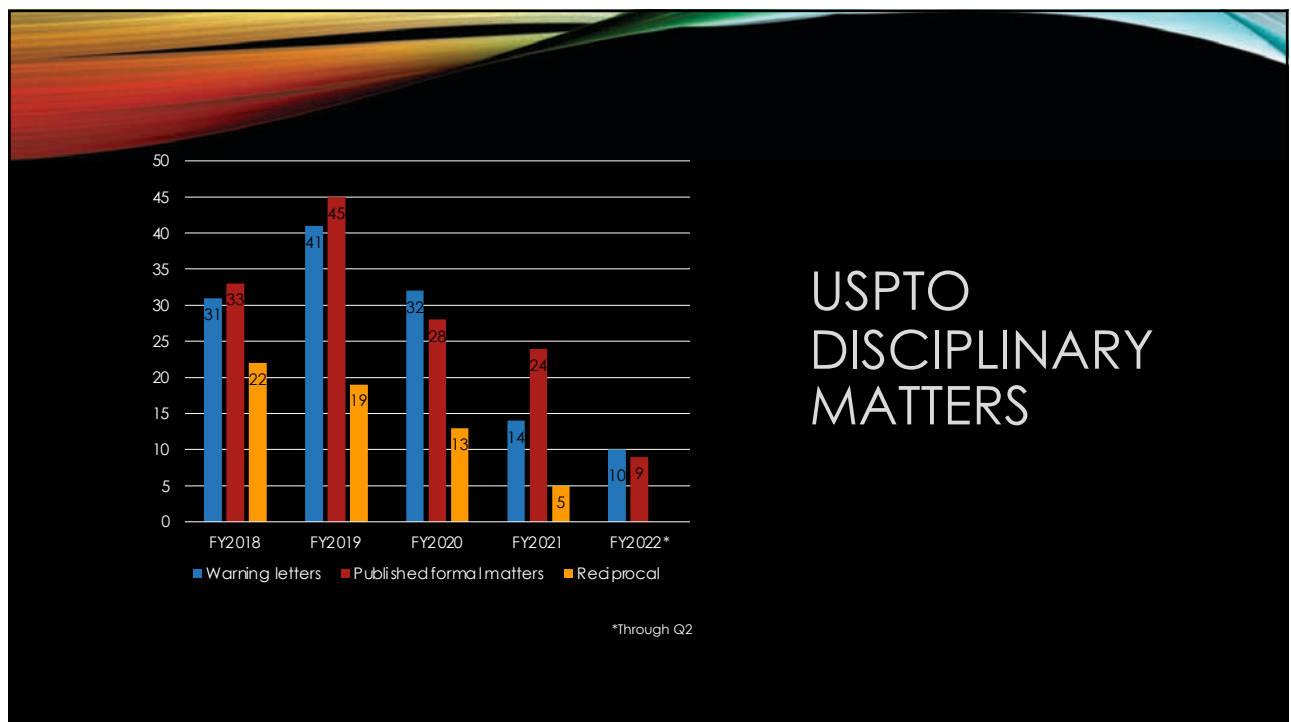
USPTO DISCIPLINARY DECISIONS: DO THIS, DON'T DO THAT!

Jennifer Librach Nall, DLA Piper LLP - Austin, TX

Emily Pyclik, Baker Botts - Austin, TX

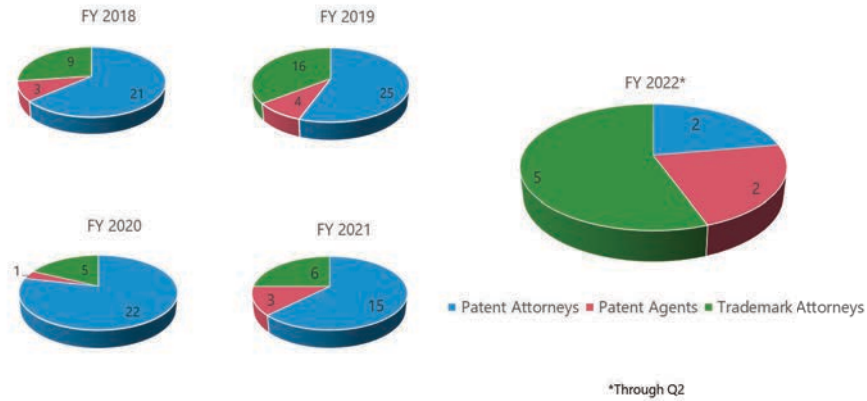
October 21, 2022

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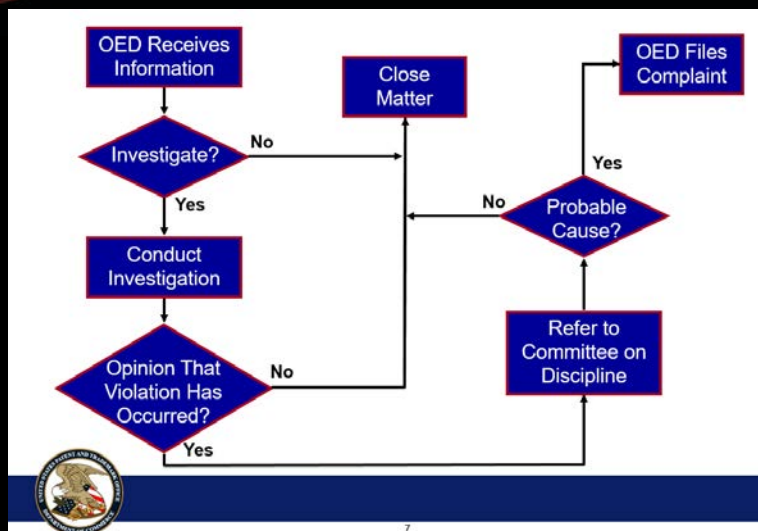
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USPTO DISCIPLINARY MATTERS



3

THE LIFE OF A GRIEVANCE



4

DISCIPLINARY COMPLAINTS

- 37 CFR § 11.32 – Predicated on “probable cause” by COD
- 37 CFR § 11.24 – Reciprocal discipline
- 37 CFR § 11.25 – Interim suspension based on serious criminal conviction

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TIMING FOR DISCIPLINARY ACTIONS

- 35 USC § 32 – Proceedings commenced not later than earlier of:
 - 10 years after misconduct occurred; or
 - 1 year from date conduct was made known to the USPTO

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"USPTO Disciplinary Decisions: Do this, Don't do that!"