


# 6<sup>th</sup> Annual Government Enforcement Institute

## September 12-13, 2019 • The Westin Galleria Houston • Houston, TX

### Thursday Morning, Sep. 12, 2019

**Presiding Officer:**

**Steve Korotash**, Partner, Morgan, Lewis & Bockius LLP - Dallas, TX


7:30 am	<p><b>Registration Opens</b></p> <p>Includes continental breakfast.</p> <p><b>Thank You to Our Breakfast Sponsor</b></p> 
8:20 am	<b>Welcoming Remarks</b>
8:30 am 0.50 hr	<p><b>Opening Keynote Presentation</b></p> <p>Matt Miner, Deputy Assistant Attorney General, Criminal Division, U.S. Department of Justice - Washington, DC</p>
9:00 am 1.00 hr 0.25 hr ethics	<p><b>Tailoring Internal Investigations to be More Efficient and Cost Effective</b></p> <p>Discuss the latest technology and techniques that are being employed for conducting efficient and effective internal investigations.</p> <p>Moderator: Todd C. Ranta, Partner, PwC - Dallas, TX</p> <p>Panelists: Fredric (Rick) Firestone, Partner, McDermott Will &amp; Emery - Washington, DC Brad Mroski, Managing Director, AlixPartners - Dallas, TX Leonard C. Sherer, Vice President - Law, Labor and Employment, Union Pacific - Omaha, NE Ivan Velez-Leon, Managing Director, Forensic Services, KPMG LLP - Miami, FL</p>
10:00 am	<b>Break</b>
10:15 am 1.00 hr ethics	<p><b>Representation of Multiple Parties: Ethical and Practical Considerations</b></p> <p>Examine the advantages and disadvantages of using pool counsel in internal investigations, including the impact of joint representation, whether the various clients' interests are sufficiently aligned, the types of agreements entered with the company, the logistics of the engagement, consequences of one client being charged or cooperating, and managing client information to avoid potential cross-contamination.</p> <p>Moderator: Amy Jeffress, Partner, Arnold &amp; Porter LLP - Washington, DC</p> <p>Panelists: Jennifer Z. Belveal, Partner, Foley &amp; Lardner LLP - Detroit, MI Patrick K. Craine, General Counsel and Corporate Secretary, Gulfport Energy Corporation - Oklahoma City, OK Matthew G. Nielsen, Partner, Bracewell LLP - Dallas, TX</p>

11:15 am 1.00 hr 0.25 hr ethics	<b>Government Investigations and the External Auditor</b>  Hear tips for legal departments in interacting with external auditors during an investigation.  Moderator: Timothy R. McCormick, Partner, Thompson & Knight LLP - Dallas, TX Panelists: Jeff Ferguson, Partner, Ernst & Young LLP - Dallas, TX Kelvin F. Sellers, VP & General Counsel, Interstate Batteries - Dallas, TX Holly Tucker, Partner, Deloitte - Dallas, TX
---------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

## Thursday Afternoon, Sep. 12, 2019

### Presiding Officer:

**Steve Korotash**, Partner, Morgan, Lewis & Bockius LLP - Dallas, TX


12:15 pm	<b>Networking Luncheon</b>  Interact and network with faculty and fellow colleagues. Buffet lunch included in registration.  <b>Thank You to Our Networking Luncheon Sponsor</b>  
1:00 pm 1.00 hr 0.25 hr ethics	<b>Whistleblower Issues and Best Practices</b>  An exploration of the myriad challenges posed for companies by whistleblowers, as well as viable strategies for successfully negotiating the difficult obstacles presented.  Moderator: Jordan A. Thomas, Partner, Labaton Sucharow LLP - New York, NY Panelist: Michael Jackman, Director, Corporate Inquiries & Hotline / Compliance Counsel, Flowserve Corporation - Irving, TX Emily Pasquinelli, Deputy Chief of the Office of the Whistleblower, U.S. Securities & Exchange Commission
2:00 pm 1.00 hr	<b>Financial Fraud Enforcement: A Look Around the Corner</b>  An update on the SEC's and DOJ's recent enforcement efforts involving financial fraud investigations and related litigation.  Moderator: Jason S. Flemmons, Senior Managing Director, Ankura Consulting Group - Washington, DC Panelists: Brian K. Kidd, Chief, Securities and Financial Fraud Unit, U.S. Department of Justice - Washington, DC Benjamin D. Singer, Partner, O'Melveny & Myers LLP - Washington, DC Eric R. Werner, Associate Regional Director, U.S. Securities and Exchange Commission - Fort Worth, TX
3:00 pm	<b>Break</b>

3:15 pm 1.00 hr 0.50 hr ethics	<p><b>FCPA Issues and Developments</b></p> <p>The latest in FCPA enforcement trends and policy developments, compliance best practices, and international updates.</p> <p>Moderator: Charles E. Duross, Partner, Morrison &amp; Foerster LLP - Washington, DC</p> <p>Panelists: Tarek J. Helou, Partner, Wilson Sonsini Goodrich &amp; Rosati, P.C. - Washington, DC Jason Rose, Enforcement Attorney, U.S. Securities and Exchange Commission - Fort Worth, TX Ephraim "Fry" Wernick, Partner, Vinson &amp; Elkins LLP - Washington, DC</p>
4:15 pm 1.00 hr 0.25 hr ethics	<p><b>Cybersecurity: Between the SEC and DOJ, What's a Company to Do?</b></p> <p>The government takes an active role in enforcement when it comes to cybersecurity issues. Discuss the who, what, when, where, and why of government enforcement and in-house counsel navigates this challenging area.</p> <p>Moderator: Shamoil T. Shipchandler, Partner, Jones Day - Dallas, TX</p> <p>Panelists: Michael Chu, Assistant United States Attorney, U.S. Attorney's Office, Southern District of Texas - Houston, TX Adam R. Law, Senior Vice President, General Counsel &amp; Corporate Secretary, Select Energy Services, Inc. - Houston, TX Scott Mascianica, Assistant Regional Director, Enforcement, U.S. Securities and Exchange Commission - Fort Worth, TX John Park Pearson, U.S. Department of Justice - Houston, TX</p>
5:15 pm	<p><b>Adjourn to Networking Reception</b></p> <p>Join us for drinks and hors d'oeuvres with program faculty and attendees.</p> <p><b>Thank You to Our Reception Sponsor</b></p> <div>   </div>

## Friday Morning, Sep. 13, 2019

### Presiding Officer:

**Barrett R. Howell**, Partner, Katten Muchin Rosenman LLP - Dallas, TX

8:00 am	<p><b>Conference Room Opens</b></p> <p>Includes continental breakfast.</p> <p><b>Thank You to Our Breakfast Sponsor</b></p> <div>  </div>
---------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

8:30 am 1.00 hr 0.50 hr ethics	<p><b>The Company in Crisis: Talking to Investors, Regulators and the Media</b></p> <p>Best practices for crisis preparation, planning, and how to disclose and communicate after a crisis event. Address strategies for dealing with the media, investors, and the public then discuss the legal requirements and the strategic considerations in making disclosures in company filings.</p> <p>Moderator: Kit Addleman, Partner, Haynes and Boone, LLP - Dallas, TX</p> <p>Panelists: Erik Hotmire, Former Senior Advisor to the Chairman, U.S. Securities and Exchange Commission - Washington, DC Ira H. Raphaelson, Senior Counsel, White &amp; Case LLP - Washington, DC Sarah R. Teachout, Sr. Vice President and Chief Legal Officer, Trinity Industries - Dallas, TX</p>
9:30 am 1.00 hr	<p><b>Antitrust Enforcement</b></p> <p>Hear about hot topics in federal and state antitrust enforcement, practical takeaways for discussions with the DOJ, FTC, or state attorneys general, and best practices for corporate compliance.</p> <p>Moderator: Jennifer K. Schwab, Partner, Honigman LLP - Chicago, IL</p> <p>Panelists: J. Clayton Everett Jr., Partner, Morgan, Lewis &amp; Bockius LLP - Washington, DC Robert E. Friedman, Senior Counsel, Compliance, Exxon Mobil Corporation - Spring, TX Peter Huston, Partner, Baker Botts L.L.P. - San Francisco, CA Kim Van Winkle, Deputy Chief, Antitrust Division, Texas Attorney General's Office - Austin, TX</p>
10:30 am	<p><b>Break</b></p> <p><b>Thank You to Our Coffee and Break Sponsor</b></p> <p><b>AlixPartners</b></p>
10:45 am 0.75 hr	<p><b>False Claims Act Developments</b></p> <p>Review recent case law, legislative and policy developments, and the government's current enforcement priorities under the federal law that continue to be the government's main tool for combating government program fraud.</p> <p>Moderator: Danny S. Ashby, Partner, Morgan, Lewis &amp; Bockius LLP - Dallas, TX</p> <p>Panelists: Christopher Babbitt, Partner, WilmerHale - Washington, DC Scott A. Resnik, Partner, Katten Muchin Rosenman LLP - New York, NY</p>
11:30 am 1.00 hr	<p><b>Criminal Developments</b></p> <p>Former government attorneys and private practitioners discuss recent legal and policy developments in the field of criminal justice, specifically relating to corporate and other white-collar crimes.</p> <p>Panelists: Jeffrey J. Ansley, Partner, Bell Nunnally &amp; Martin LLP - Dallas, TX Jay Dewald, Partner, Jackson Walker LLP - Dallas, TX Edward F. Gallagher III, Assistant United States Attorney, U.S. Attorney's Office, Southern District of Texas - Houston, TX Eric J.R. Nichols, Butler Snow LLP - Austin, TX Gil M. Soffer, Managing Partner, Katten Muchin Rosenman LLP - Chicago, IL</p>

12:30 pm

**Adjourn**

---